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Total Number of Pages in This Submission

Application Number  
10/006,733Filing Date  
31 October 2001First Named Inventor  
Ernest A. Marvin IIIArt Unit  
3692Examiner Name  
T. HarbeckAttorney Docket Number  
83042

## ENCLOSURES (Check all that apply)

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Naval Undersea Warfare Center, Division Newport		
Signature			
Printed name	James M. Kasischke		
Date	8/30/2007	Reg. No.	36562

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Attorney Docket No. 83042

30 August 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appellant: ERNEST A. MARVIN III  
Serial No.: 10/006,733  
Filed: 31 October 2001  
For: BUSINESS DEVELOPMENT PROCESS

Group Art Unit: 3692  
Examiner: T. Harbeck  
Customer No. 23523

CORRECTION TO APPEAL BRIEF ON BEHALF OF THE APPELLANTS IN  
APPEAL TO THE BOARD OF APPEALS

To the Board of Patent Appeals and Interferences  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir or Madam:

This is a correction to the brief submitted by Appellants on 17 July 2007 in the above-identified application, now under appeal before the Board of Appeals.

Please replace the section entitled "SUMMARY OF CLAIMED SUBJECT MATTER" with the following:

SUMMARY OF CLAIMED SUBJECT MATTER

The invention in independent claim 1 is a business development method for an organization utilizing a business development database. (FIG. 1; page 9, line 23 through page 10, line 16) The first step is collecting data for ideas from a plurality of sources. Appellants' step of collecting ideas is

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supported at page 10, lines 12-16 of the specification. The next step of the method is identifying core equities of the organization. This is given in the specification at page 11, lines 6-20. The method then uses a step of filtering the collected idea data by identifying potential business opportunities as those collected ideas that fall within the identified core equities. Appellants' step of filtering is supported at page 10, line 24 through page 12, line 8 and in FIG. 2. Once the potential business opportunities are identified, an opportunity analysis is performed to determine valid business opportunities. Opportunity analysis is discussed on page 12, line 9 through page 22, line 10. The method then has a step of committing resources to the validated business opportunities. Committing resources is discussed on page 22, line 11 through page 26, line 21. A business proposal is developed for the valid business opportunities. The step of writing a business proposal is supported on page 28, line 5 through page 29, line 15. This developed business proposal is submitted to a potential buyer. The step of submitting the proposal to the buyer is given on page 29, lines 16-25. Success of the submission is determined. The step of determining success is given on page 30, lines 1-9. The business opportunity is executed when submission is a success. The step of executing the business plans is given on page 30, lines 10-14.

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The invention in independent claim 3 is a business development method for an organization utilizing a business development database. (FIG. 1; page 9, line 23 through page 10, line 16) The first step is collecting data for ideas from a plurality of sources. Appellants' step of collecting ideas is supported at page 10, lines 12-16 of the specification. The next step of the method is identifying core equities of the organization. This is given in the specification at page 11, lines 6-20. The method then uses a step of filtering the collected idea data by identifying potential business opportunities as those collected ideas that fall within the identified core equities. Appellants' step of filtering is supported at page 10, line 24 through page 12, line 8 and in FIG. 2. Once the potential business opportunities are identified, an opportunity analysis is performed to determine valid business opportunities. Opportunity analysis is discussed on page 12, line 9 through page 22, line 10. Opportunity analysis includes grading strengths and weaknesses which is discussed at page 13, line 12 through page 19, line 4 and FIGS. 3-7. Entering project identifying information is discussed at page 12, lines 21-24, and shown at FIG. 8. Summarizing graded areas is provided at page 19, line 18 through page 21, line 8. Archiving opportunities is provided on page 22, lines 13-16. Forwarding identified potential business opportunities is provided at page 22, lines 17-20. The method then has a step of committing resources to the

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validated business opportunities. Committing resources is discussed on page 22, line 11 through page 26, line 21. A business proposal is developed for the valid business opportunities. The step of writing a business proposal is supported on page 28, line 5 through page 29, line 15. This developed business proposal is submitted to a potential buyer. The step of submitting the proposal to the buyer is given on page 29, lines 16-25. Success of the submission is determined. The step of determining success is given on page 30, lines 1-9. The business opportunity is executed when submission is a success. The step of executing the business plans is given on page 30, lines 10-14.

The invention of independent claim 11 is a business development process for an organization utilizing a business development database. (FIG. 1; page 9, line 23 through page 10, line 16) The first step is collecting data for ideas from a plurality of sources. Appellants' step of collecting ideas is supported at page 10, lines 12-16 of the specification. The next step of the method is identifying core equities of the organization. This is given in the specification at page 11, lines 6-20. The method then uses a step of filtering the collected idea data by identifying potential business opportunities as those collected ideas that fall within the identified core equities. Appellants' step of filtering is supported at page 10, line 24 through page 12, line 8 and in FIG.

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2. Once the potential business opportunities are identified, an opportunity analysis is performed to determine valid business opportunities. Opportunity analysis is discussed on page 12, line 9 through page 22, line 10. Conducting an opportunity analysis is composed of several substeps. These include conducting a risk and reward assessment (pages 12-22) which includes developing risk benchmark values. A risk factor is identified in relation to the risk benchmark values. This is shown on page 20, lines 7-14, and in FIG. 9. Reward benchmark values are also developed. Reward factors are identified on page 21, lines 3-12, and in FIG. 9. A spread sheet is updated with calculated risk and reward factors. This is shown in FIG. 9. The spread sheet gives the recommended course of action. (FIG. 9). Resources are committed if the action is indicated as "Go". The method then has a step of committing resources to the validated business opportunities. This is described on page 22, lines 11-21, and in FIG. 1, at reference number 42. Committing resources is discussed on page 22, line 11 through page 26, line 21. A business proposal is developed for the valid business opportunities. The step of writing a business proposal is supported on page 28, line 5 through page 29, line 15. This developed business proposal is submitted to a potential buyer. The step of submitting the proposal to the buyer is given on page 29, lines 16-25. Success of the submission is determined. The step of determining success is given on page 30, lines 1-9. The

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business opportunity is executed when submission is a success. The step of executing the business plans is given on page 30, lines 10-14.

The above amended summary includes a summary of independent claim 3 which was omitted in the original appeal brief. Appellants respectfully suggest that this amendment overcomes all reasons for non-compliance presented in the notification. Please contact the undersigned at 401-832-4230 should other corrections be required for placing the appeal brief in the required format.

Respectfully submitted,  
ERNEST A. MARVIN III

30 August 2007

BY   
JAMES M. KASISCHKE  
Attorney of Record  
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